



Effective Evidence-based Prevention Programs and Policies Alyssa O'Hair, MPH, MA, CPS; Rick Collins, MS, CPS



#### Disclaimer

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#### Strategic Prevention Framework



## SPF: Assessment Informs Conceptual Fit

#### Assessment:

- Determines true substancerelated problem in community
- Identifies population(s) most in need of preventive services
- Determines the risk/protective factors and local conditions contributing to the problem



## SPF: Capacity Informs Practical Fit

#### Capacity:

- Determines current resources and community readiness to address the need
- · Identifies gaps in capacity
- Develops and implements a plan to strengthen capacity



#### SPF Step 3: Comprehensive Prevention Planning

| ×      |                                                                                    |
|--------|------------------------------------------------------------------------------------|
|        | <ul> <li>Describes your priority problem(s)</li> </ul>                             |
|        | Describes your focus population                                                    |
| Step 1 | <ul> <li>Describes the risk and protective factors and local conditions</li> </ul> |
|        |                                                                                    |
|        | <ul> <li>Describes existing resources and current community readiness</li> </ul>   |
|        | Describes current gaps in resources                                                |
| Step 2 | Describes plan to increase capacity                                                |
|        |                                                                                    |
|        | • Describes a set of EBIs and how each address one or more prioritized factors     |
|        | • Includes a logic model visually showing relationship between problem, factors,   |
| Step 3 | interventions, and desired outcomes                                                |
|        |                                                                                    |
|        |                                                                                    |
|        |                                                                                    |

#### **Risk and Protective Factors**

#### **Risk Factor**

Any attribute, characteristic, or exposure that **precedes** and is associated with a **higher** likelihood of problematic outcomes

#### **Protective Factor**

Any attribute, characteristic, or exposure that **precedes** and is associated with a **lower** likelihood of problematic outcomes

#### Adolescent and Young Adult Substance Use: Example Risk Factors

| Risk Factors                                                                | Definition                                                                                                                                                                                                                 | Adolescent<br>Substance<br>Use | Young Adult<br>Substance<br>Use |
|-----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------|
|                                                                             | Individual/Peer                                                                                                                                                                                                            |                                |                                 |
| Early initiation of substance use <sup>46,47</sup>                          | Engaging in alcohol or drug use at a<br>young age.                                                                                                                                                                         | ~                              | ~                               |
| Early and persistent problem<br>behavior <sup>48,49</sup>                   | Emotional distress, aggressiveness, and<br>"difficult" temperaments in adolescents.                                                                                                                                        | ~                              |                                 |
| Rebelliousness <sup>48,50</sup>                                             | High tolerance for deviance and rebellious activities.                                                                                                                                                                     | ~                              | ~                               |
| Favorable attitudes toward<br>substance use <sup>51,52</sup>                | Positive feelings towards alcohol or drug<br>use, low perception of risk.                                                                                                                                                  | ~                              | ~                               |
| Peer substance use <sup>53-55</sup>                                         | Friends and peers who engage in alcohol<br>or drug use.                                                                                                                                                                    | ~                              | ~                               |
| Genetic predictors <sup>56</sup>                                            | Genetic susceptibility to alcohol or drug use.                                                                                                                                                                             | ~                              | ~                               |
|                                                                             | Family                                                                                                                                                                                                                     |                                |                                 |
| Family management problems<br>(monitoring, rewards, etc.) <sup>\$7,40</sup> | Poor management practices, including<br>parents' failure to set clear expectations<br>for children's behavior, failure to supervise<br>and monitor children, and excessively<br>severe, harsh, or inconsistent punishment. | V                              | ~                               |

Table 3.1: Risk Factors for Adolescent and Young Adult Substance Use

## Resource Lists of Risk & Protective Factors



- <u>"The Surgeon General's Report on Alcohol, Drugs, and Health,"</u> (2016)
- <u>"Drugs, Brains, and Behavior: The Science of Addiction,"</u> <u>National Institute on Drug Abuse</u>
- "Preventing Youth Marijuana Use: Factors Associated with Use" Prevention Solutions
- <u>"Community Assessment Primer," Community Anti-Drug</u> <u>Coalitions of America</u>

# Addressing the Problem through Risk/Protective Factors



#### Logic Model Development



Logic Model: Which one doesn't fit?



#### **Selecting Your Strategies**



# Chat Box: What are some ways to "baby proof" a house?



Source: babyology.com

#### Teaching Skills and Changing the **Environment**



Source: statewideremodeling.com



Source: truehomeusa.com

Comprehensive prevention planning will include individual and environmental level EBIs.

## Shifting the Prevention Paradigm

From...



То...



## The Case for Policy



Source: hiphi.org



Parable of the River

Source: .travelpostmonthly.com



Adapted from Thomas R. Frieden. A Framework for Public Health Action: The Health Impact Pyramid. American Journal of Public Health: April 2010, Vol. 100, No. 4, pp. 590-595. doi: 10.2105/AJPH.2009.185652

**Alcohol Example** 



## The Denominator Example



### In-School Prevention Program – Maui

#### In-School Prevention Class

- Teach an 8-session in-class curriculum
- Middle & high school
- 2.5 FTE Staff
- Approx. 6 schools
- About 2,500 youth/yr
- Need to fund & implement annually for continued effect

#### **Prevention Denominator**

- Total population of Maui County – 166,667 (2020)
- 2,500/166,667
- Impact on total population = 1.5%

### Policy Prevention Example - Maui

#### **Alcohol Advertisement Restriction**

- Liquor control passes an outdoor ad restriction
- No sandwich boards, no public transit, no exterior window ads, no billboards
- 1.5 FTE & coalition volunteers
- Remains in effect without continued funds or effort

**Prevention Denominator** 

- Total population of Maui County – 166,667 (2020)
- 166,667/166,667
- Impact on total population = 100%



#### Individual vs. Environmental Approaches

| Individual Strategies                                              | Environmental Strategies                                              |
|--------------------------------------------------------------------|-----------------------------------------------------------------------|
| Focus on behavior and behavior changes                             | Focus on policy and policy change                                     |
| Focus on relationship between individual and drug-related problems | Focus on social, political, economic context of alcohol/drug problems |
| Short-term focus on program development                            | Long-term focus on policy development                                 |
| Individual does not generally<br>participate in decision-making    | People gain power by acting collectively                              |
| Individual is the audience                                         | Individual as an advocate                                             |

#### Where Are EBIs Located?



What do you look for in an Intervention?





#### Continuum of Evidence



#### Individual Programs Include...

- In-School/After-School Multi-Session Curricula
- Mentoring Programs
- Parenting Programs
- Problem Identification & Brief Intervention
- Developing Media Campaigns



#### **Resources for Selecting Individual** Programs

**Guide to Online Registries for Substance Misuse Prevention Evidence-based Programs and Practices** 

**Evidence-Based Programs**, Policies, and Practices Toolkit

#### **Evidence-Based Environmental** Strategies – Alcohol (WHO)



#### A WORLD FREE FROM ALCOHOL RELATED HARMS

FACILITATE

#### The SAFER interventions

#### STRENGTHEN

restrictions on alcohol availability

and enforce drink-driving countermeasures

ADVANCE

access to screening, brief interventions and treatment

bans or comprehensive restrictions on alcohol advertising, sponsorship and promotion

ENFORCE

prices on alcohol through excise taxes and other pricing policies

RAISE

Source: SAFER, World Health Organization, 2019. SAFER Technical Package

#### **CDC** Community Guide on Alcohol

| or CPSTF Findings: 🔘 Recommended 🔶 Insufficient Evidence 🔺 Recommer | nded Against (See detailed description on th |
|---------------------------------------------------------------------|----------------------------------------------|
| INTERVENTION                                                        | CPSTF FINDING                                |
| Dram shop liability                                                 |                                              |
| Electronic screening and brief intervention (e-SBI)                 |                                              |
| Enhanced enforcement of laws prohibiting sales to minors            |                                              |
| Increasing alcohol taxes                                            |                                              |
| Maintaining limits on days of sale                                  |                                              |
| Maintaining limits on hours of sale                                 |                                              |
| Overservice law enforcement initiatives                             | $\diamond$                                   |
| Privatization of retail alcohol sales                               |                                              |
| Regulation of alcohol outlet density                                |                                              |
| Responsible beverage service training                               | $\diamond$                                   |

Source: "The Community Guide on Excessive Alcohol Consumption, Evidence-based Interventions for Your Community," CDC, 2022

#### **Cannabis Prevention Resources**

- Public Health Institute Oakland
- <u>"Preventing Marijuana Use Among Youth,"</u> <u>SAMHSA</u>
- <u>The Cannabis/Marijuana Awareness &</u> <u>Prevention Toolkit, Stanford Medicine</u>
- <u>Cannabis: Research and Resources, PTTC</u> <u>Network of the Mountain Plains</u>



Source: mediapost.com

#### **Opioid Misuse Prevention Resources**

- <u>"Evidence-based Strategies for</u> <u>Preventing Opioid Overdose: What's</u> <u>Working in the United States," CDC</u>
- Opioid Overdoes Prevention Toolkit, SAMHSA
- Prevention Programs and Tools to Prevent Opioid Misuse, Dept. Health of Health and Human Services



#### Collaboration is Key!



Chat Box – Why is collaboration essential to our work?



#### Shifting from Common Collaboration Roadblocks to "Something Different"

- Scarcity/competition mindset
- Competition/scarcity
- Transactional relationships
- Perfectionism
- Separateness

- · Serving the public good
- Building a bigger pie together
- Transformational relationships
- Mistakes are valued as opportunities for growth
- Collectivism

Adapted from: "White Dominant Culture and Something Different," Partners for Collaborative Change



Source: cadca.org

## Identifying a Person's/Organization's WIFM

- Why is it important to identify others' WIFMs?
- How can knowing their WIFM broaden collaboration?
- Key task of understanding the WIFM of others is listening and relationship building



Source: aliveandkicking.com.au

Text Box Activity: Discuss examples of collaborative partnerships you've seen work



## Ineffective Prevention Strategies

The strategies that have been shown to be ineffective or even counter productive

#### Ineffective Education

- One-time events
- Assemblies
- Personal testimony from people in recovery
- Mock car crashes
- Drunk googles



### **Ineffective Appeals**

- Moralistic appeals
- Fear based campaigns
- Exaggerated dangers
- Long term consequences
- Grotesque images



### Information Sharing

Ineffective

- Knowledge based interventions
- Myth Busting
- Drug Fact Sheets
- Effects of drugs

Effective

- Education related risk and protective factors
- Action focused information

#### Want to Learn More??



#### What Does NOT Work in Prevention

3:00pm - August 8, 2022 thru 4:30pm - August 8, 2022 l Timezone: US/Pacific Hosted By: Pacific Southwest PTTC



Date: August 8, 2022 Time: 3:00pm – 4:30pm Pacific <u>Register Here</u>

# What if we have to "flip-flop" back to all (or primarily) virtual work?



### **General Outreach and Communication**

- Phone calls
- Flyers (door knocks, inserts into other packages)
- Mass Media (TV, radio)
- Social Media



#### Social Media Tips

- Post on the platform your intended audience uses!
- Frame your message carefully. Include:
  - · A specific call to action
  - A link to more information, resources
  - · Appropriate visual images
  - Relevant hashtags and handles
- · Be prepared to allocate time to this
- Develop social media policies and plans



For more information: <u>The Prevention Practitioner's Guide to Social Media</u>

#### Adapting Services: Key Questions

- Which activity(ies) are you adapting?
- What is the right platform for each?
- What are the key ethical considerations for each?
- How do you need to prepare for this transition?
- How will you engage your audience?
- · How will you evaluate this?

#### Guidance to Transition to Virtual Work





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https://pttcnetwork.org/centers/great-lakes-pttc/product/moving-prevention-strategies-person-virtual

#### Considerations for Different Aspects of Your Work

#### CSAP's 6 Strategies for Prevention

- 1. Information Dissemination
- 2. Education
- 3. Alternative Activities
- 4. Environmental Strategies
- 5. Community-Based Process
- 6. Problem Identification and Referral

| Ę                         | Great Lakes (HHS Region 5) PTTC Prevention Technology Transfer Center Network Function 19 Societies Acue and Write Haser Services Accessed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| _                         | Moving "The CSAP 6" Strategies to Virtual Settings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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| and d<br>also p<br>Inform | Integry provides evenements and knowledge of the nature and estant of alcohol, tobacco<br>rug use, abuse and addiction and their effects on individuals, families and communities. It<br>could be involved and exercises of available prevention programs and services,<br>auton diseministics is characterized by one-way communication from the source to the<br>two, with limited could between the two.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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|                           | Resource directories<br>Media campaigns<br>Brochures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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| 2. E                      | ducation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Disse<br>partic<br>social | trategy involves <b>hero-way communication</b> and is distinguished from the information<br>mission strategy by the fact that interaction between the educator/ficializet and the<br>parts is the basis of its activities. Activities under this strategy aim to affect critical life and<br>skills, including decision-making, refutad skills, critical analysis (e.g., of media messages)<br>stramic/culgment abilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                           | ples of activities conducted and methods used for this strategy include:<br>Classroom and/or small group sessions (all ages)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

https://pttcnetwork.org/centers/great-lakes-pttc/product/moving-prevention-strategies-person-virtual

#### Taking Stock of Your Needs

- Type of communication
- Audience/participants
- Skill set needed
- Monetary costs
- What is already working? What's not?



#### **Prevention Think Tank Code of Ethics**



Prevention Think Tank, (2003). <u>Code of Ethical Conduct</u>, Retrieved from https://www.internationalcredentialing.org/Resources/Documents/Prevention%20Think%20Tank%20C ode%200f%20Ethical%20Conduct.pdf

## **Preparing Your Event**

- Establish the purpose
- Prepare the agenda
- Involve stakeholders in the planning
- Hold a "tech rehearsal"



## **Online Engagement Tools**

- Polls
- Digital interactive whiteboards
- Break-out rooms
- Chat/discussion boxes
- Document sharing
- Screen sharing
- Annotation features
- Word clouds



#### **Online Engagement Strategies**

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- Co-facilitate
- Energizers
- Music
- Videos
- Stretch breaks
- Social media giveaways



#### Low- or No-Tech Engagement Ideas

- Include a call-in option for meetings
- Disseminate information through partners or partner events
- Drop-off family activity packets
- Use billboards, newspapers, radio ads, TV
  - SAMHSA Talk They Hear You



#### Reflect on Your Lessons Learned!



#### **Resources for Virtual Prevention Delivery**

- Engaging Prevention in a Virtual Environment Two-Part Webinar
  - Part 1: Moving Prevention Into the Virtual Environment
  - Part 2: Engaging in a Virtual Environment
- Lessons Learned from Implementing Evidence-Based Programs in a Virtual Environment
  - Webinar and Handouts
- Engaging Coalition Members during COVID-19
  - Handout
- · For even more, search Products and Resources at pttcnetwork.org











Pacific Southwest (HHS Region 9)



Prevention Technology Transfer Center Network Funded by Substance Abuse and Mental Health Services Administration

## Thank you!



