

Clinical Services Division: Utilization Management & Quality Improvement Updates

Los Angeles County Department of Public Health All Provider Meeting July 2, 2024 Substance Abuse Prevention & Control



Agenda



Updated on ASAM Criteria: 4th Edition Implementation



Benefits-Cal Case Linking



COVID-19 Reporting Updates



Authorization Review Updates



FOURTH EDITION

THE ASAM CRITERIA

Treatment Criteria for Addictive, Substance-Related, and Co-occurring Conditions





Implementation Considerations

- DHCS → Transitioning from the 3rd Edition to the 4th Edition of the ASAM Criteria in 2025 (as soon as January 1)
- Agencies should begin to orient their staff to this new criteria, which will shape **both** patient assessment and the how levels of care are defined.





Reordering the dimensions

- Since readiness to change does not independently contribute to initial treatment recommendations the dimensions will be adjusted
- Readiness considered across all dimensions.
- New Dimension 6 focuses on patient preferences, barriers to care, and need for motivational enhancement





Fourth Edition



Intoxication, Withdrawal, and Addiction Medications



Biomedical Conditions



Psychiatric and Cognitive Conditions

Substance Use-Related Risks

Recovery Environment Interactions

Person-Centered Considerations

4th Edition ASAM Criteria Assessment Dimensions



Subdimensions

Dimension 1 – Intoxication, Withdrawal, and Addiction Medications

- Intoxication and associated risks
- Withdrawal and associated risks
- Addiction medication needs

Dimension 2 – Biomedical Conditions

- Physical health concerns
- Pregnancy-related concerns
- Sleep problems

Dimension 3 – Psychiatric and Cognitive Conditions

- Active psychiatric concerns
- Persistent Disability
- Cognitive Functioning
- Trauma exposure and related needs
- Psychiatric and cognitive history

Dimension 4 – Substance Use Related Risks

- Likelihood of risky substance use
- Likelihood of risky SUD-related behaviors

Dimension 5 – Recovery Environment Interactions

- Ability to function in current environment
- Safety in current environment
- Support in current environment
- Cultural perceptions of substance use

Dimension 6 – Person-Centered Considerations

- Patient preferences
- Barriers to care
- Need for motivational enhancement



The ASAM Criteria Dimensional Drivers*



* The Dimensional Drivers presented in this figure are illustrative; Dimensional Drivers should be individualized to each patient.



Level 4: Inpatient	4 Medically Managed Inpatient 4 Psych
Level 3: Residential	3.1 Clinically Managed Clinically Managed Medically Managed 3.1 Low-Intensity Besidential State State Residential State State State State Clinically Managed Clinically Managed State Medically Managed State State State State State COF COF COF COF
Level 2: IOP/HIOP	2.1 Intensive Outpatient (IOP) High-Intensity Medically Managed 1 0utpatient (IOP) 0utpatient 1
Level 1: Outpatient	Long-TermOutpatientMedically Managed1.0RemissionTherapy1.5MonitoringMonitoring1.7
Recovery Residence	RR Recovery Residence



Notable Level of Care changes



Removing Level 0.5. Early intervention and prevention are addressed in a new chapter.



Recovery support service expectations at each level of care.



Removing Level 3.3. Reflecting that cognitive deficits should be addressed in all levels of care.



Expectation that all levels of care be co-occurring capable at minimum.



Level 3.2 WM services integrated into Level 3.5.



Adding harm reduction as a component of individualized care.



Continuity Along the Continuum







Prevent sharp drop-offs in clinical care

Structured services 7 days per week in Level 3.1 and 3.5

Aligning clinical service standards.



Access to Addiction Medications



- Dimension 1 updated to include "Addiction Medication Needs" to support delivery of the standard of care for SUD treatment
- All medically managed levels of care able to initiate all FDAapproved medications for SUD
- All patients should have a physical exam within a reasonable time that assesses addiction medication needs
- All clinically managed levels of care able to support continuation of any FDA-approved medication



Supporting Comprehensive Care

- Integrating withdrawal management and biomedical care in the continuum of care
 - Level 1.7: Medically Managed Outpatient Treatment
 - Level 2.7: Medically Managed Intensive Outpatient Treatment
 - Level 3.7: Medically Managed Residential
 - Level 3.7 BIO has advanced biomedical capabilities including intravenous (IV) fluids and medications, as well as advanced wound care
 - Level 4: Medically Managed Inpatient



Integrating Co-Occurring Capability

- All programs should be co-occurring capable at minimum
 - Program services designed with expectation that most patients have co-occurring conditions
 - Ability to manage mild to moderate acuity, instability, and/or functional impairment.
 - At least one staff member qualified to assess and triage mental health conditions
 - Integrated treatment plans
 - Coordination with external mental health providers as needed
 - Program content that addresses co-occurring conditions



Recovery Services

- Recovery service expectations at each LOC
- Dimensional Admission Criteria consider the need for recovery residence support
- Algorithm may recommend an outpatient level of care plus a recovery residence
- New chapter on Integrating Recovery Support Services (Chapter 15)



Continuity Along the Continuum

- Prevent sharp drop-offs in clinical care
- Structured services 7 days per week in Level 3.1 and 3.5
- Aligning clinical service standards
 - Aligning 2.1 and 3.1: 9-19 hours of clinical services per week
 - Aligning 2.5 and 3.5: 20 plus hours of clinical services per week



Chronic Care Model

- Integration of long term remission monitoring (Level 1.0)
- Emphasis on recovery services (RSS)
 - Assessment of RSS needs
 - RSS service standards for each level of care
- Encouraging formal affiliations across levels of care to support seamless transitions



Level 4: Inpatient	Clinically Managed Care		A Medically Managed Inpatient 4 Psych
Level 3: Residential		3.1 Clinically Managed Low-Intensity Residential 3.5 High-Intensity Residential 3	aged Medically Managed
Level 2: IOP/HIOP		2.1 Intensive Outpatient (IOP) 2.5 High-Intensity Outpatient (HIOP) 2	.5 COE Medically Managed Intensive Outpatient 2.7 COE
Level 1: Outpatient	1.0 Long-Term Remission Monitoring	1.5 Outpatient Therapy	.5 COE Medically Managed 0utpatient 1.7 COE
Recovery Residence	RR Recovery Residence		



Level 4: Inpatient	Medically Managed Care	4 Medically Managed Inpatient 4 Psych
Level 3: Residential	3.1Clinically Managed Low-Intensity ResidentialClinically Managed High-Intensity Residential3.1Clinically Managed Low-Intensity Residential3.5	3.7 Medically Managed Residential 3.7 BIO 3.7 COE
Level 2: IOP/HIOP	2.1Intensive Outpatient (IOP)High-Intensity Outpatient (HIOP)2.50.12.50.12.50.12.50.12.50.1	2.7 Medically Managed Intensive Outpatient 2.7 COE
Level 1: Outpatient	Long-Term Remission MonitoringOutpatient Therapy1.01.51.501.51.51.51.51.51.5	1.7 Medically Managed Outpatient 1.7 COE
Recovery Residence	RR Recovery Residence	



Level 4: Inpatient

Level 3: Residential

Level 2: IOP/HIOP

Level 1: Outpatient Withdrawal management and biomedical services integrated into the main continuum

• All programs expected to be co-occurring capable

Integrated Care	4 Medically Managed Inpatient 4 Psych
Clinically Managed	3.7 Medically Managed
3.5 High-Intensity	Residential
Residential 3.5 COE	3.7 BIO 3.7 COE
2.5 High-Intensity	2.7 Medically Managed
Outpatient	Intensive
(HIOP) 2.5 COE	Outpatient 2.7 COE
1.5 Outpatient	1.7 Medically Managed
Therapy	Outpatient
1.5 COE	1.7 COE

Recovery Residence





All programs should be co-occurring capable at minimum

Integrating Co-occurring Capability

- Program services designed with expectation that most patients have co-occurring conditions
- Ability to manage mild to moderate acuity, instability, and/or functional impairment.
- At least one staff member qualified to assess and triage mental health conditions
- Integrated treatment plans
- Coordination with external mental health providers as needed
- Program content that addresses co-occurring conditions



Level 4: Inpatient	4 Medically Managed Inpatient 4 Psych
Level 3: Residential	OutputClinically Managed Low-Intensity ResidentialClinically Managed High-Intensity ResidentialMedically Managed Residential3.1Clinically Managed High-Intensity Residential3.5Medically Managed Residential3.7No3.7No3.7No3.7No
Level 2: IOP/HIOP	Chronic Care 2.1 Intensive Dutpatient (IOP) High-Intensity Medically Managed Model 2.5 Outpatient Outpatient 0utpatient 0utpatient
Level 1: Outpatient	Long-Term Remission MonitoringLong-Term Remission MonitoringOutpatient TherapyMedically Managed Outpatient
Recovery Residence	RR Recovery Residence



Residential Treatment and Recovery Residence Continuum of Care*

Level 3: Residential		(3.1) Clinically Managed Low-Intensity Residential [†]	(3.5) Clinically Managed High-Intensity Residential	(3.7) Medically Managed Residential	
Recovery Residence	RR Type S (Supervised)	Residential treatment programs (ie, Level 3) and recovery residences			
	Type M (Monitored)	provide a continuum of residential services and support. Types of recovery residences may include Type P, Type M, and Type S, with Type S recovery residences providing the greatest amount of			
	RR Type P (Peer-Run)	Type S recovery residences providing the greatest amount of structure and supervision.			

* Developed in coordination with the National Alliance for Recovery Residences (NARR).

⁺ NARR Type C (Clinical) programs are equivalent to *The ASAM Criteria* Level 3.1 that applies the social model.



Transition and Continued Service Criteria

- Criteria for continued service at the current level of care
 - Patient shows progress or progress is expected imminently based on factors such as increased engagement or adjustments to the treatment plan
- Criteria for transition to a more intensive level of care
 - Patient has failed to improve in a reasonable timeframe
 - Patient has worsened or new issues have emerged that meet criteria for a more intensive LOC
- Criteria for transition to a less intensive level of care
 - Dimensional drivers have stabilized such that the patient no longer meets the Dimensional Admission Criteria
 - Patient can be safely and effectively treated in a less intensive LOC



Essential Contact Info

- For a specific authorization question, contact the care manager named in SAGE
- UM General number: (626) 299-3531 and email: <u>SAPC.QI.UM@ph.lacounty.gov</u>
- Netsmart Helpdesk for SAGE technical problems/questions: (855) 346-2392
- Phone Number to <u>file</u> an appeal: **(626) 299-4532**
- Providers or patients who have questions or concerns <u>after</u> receiving a Grievance and Appeals (G&A) Resolution Letter should contact the G&A number at (626) 293-2846

Clarification

Phone Number to <u>follow-up</u> with an appeal after receiving a resolution letter: (626)
 293-2846



New Content

- Treatment Planning (Chapter 9)
- Telehealth and Other Health Technologies (Chapter 13)
- Integrating Recovery Support Services (Chapter 15)
- Integrating Trauma-Sensitive Practices, Culturally Humble Care, and Social Determinants of Health (Chapter 16)
- Addressing Pain (Chapter 18)
- Addressing Cognitive Impairment (Chapter 19)



New Chapter on Treatment Planning

- Identify any problems in each subdimension
 - Determine which are Dimensional Drivers
- Clinicians works with patients to develop goals, objectives, and action steps that:
 - Address the Dimensional Drivers
 - Reflect additional patient priorities
 - Are expressed in the patient's priorities own words
 - Can realistically be addressed at the given level of care



Additional Volumes

Adolescent and Transition Age Youth

Correctional Settings and Reentry

Behavioral Addictions



Implementation Tools

- Updating implementation tools
 - Training courses
 - ASAM Criteria software
 - Level of Care Certification program with CARF
 - Updated CONTINUUM tools
 - ASAM Criteria Interview Assessment guide
- Developing new implementation tools
 - Standard medical necessity and continued service forms

asamcriteria.org

Treatment planning template





THE ASAM CRITERIA

Implementation Tools

ASAM offers a variety of tools aimed at helping clinicians, payers, managed care entities, and policymakers implement The ASAM Criteria effectively.

SIGN UP FOR UPDATES ightarrow

State Implementation Training

asamcriteria.org



COUNTY OF LOS ANGELES

BenefitsCal Case Linking





BenefitsCal Home Messages P	Help- Finglish - UT Search Q	BenefitsCal Home Messages Helpy English V 🔮 SS Search Q
cz/10/2024 Automation testing Read More View more announcements and godates	< 4:d7 >	Enter the following information to link your case to your account. To link your case, make sure you're the Primary Applicant.
Welcome, User	Your Application and Cases	What if I have more than one case? Date of Birth (maximal) MM//DD/YYYY Dit Code (maximal)
Apply for Benefits Apply for food, health care and cash aid. Start a new application	View your open application and cases. You don't have any cases linked to your account. Already have a case? <u>Link a case to your</u> <u>account.</u>	County (required) -Select One- Case Number (required)
Link to an Existing Case Link to your case to view your information. Link a case VY nat else would you like to do? Get help with BenefitsCal.		You can find this on your EBT card (if you have one) or in your notices. Note: The first two digits on your EBT card are your county code. Enter the next seven digits for your case number.

















COUNTY OF LOS ANGELES

COVID-19 Case Reporting Update



County of los Anerius	A-Z Index <u>A</u> B C D E F G H I J K L M		E. Font Size	
Country or Los Angeles Public Health	٩		Acute Communicable Disease Control	
ACDC A-Z Index	Disease Reporting & Information 👻	Toolkits -	Additional DPH Programs 🝷	
COVID-19 & Acute Respiratory Illness (ARI) Cluster Reporting Instructions for Multiple Sectors				
	or Multiple Sectors	rview		

In <u>healthcare settings</u>, where the risk of adverse outcomes is higher, timely reporting of COVID-19 case clusters is essential. Specific reporting thresholds are established to ensure swift actions are taken to mitigate the virus's spread.

For non-healthcare <u>community settings</u>, where testing access may be limited, COVID-19 reporting is now incorporated into the existing Acute Respiratory Illness (ARI) symptombased reporting protocol. This approach aims to facilitate early outbreak detection and management through proactive symptom monitoring.

See below for reporting requirements and information by type of setting.

Report any clusters of more severe illness (such as multiple cases of pneumonia in a group) even if they do not meet the reporting thresholds listed below.

If you are a representative from a laboratory or provider's office seeking information about mandated COVID-19 reporting, visit the <u>Health Professional Mandatory Reporting</u> webpage.

If you are looking to submit an anonymous report, call (888) 700-9995 or submit a complaint.



COVID-19 Reporting Requirements

Update to SAPC-IN 23-11 forthcoming

- LA County shifting from prior guidance
 - Previously: Report three (3) or more client or staff COVID-19 positive tests at any site or level of care in a 14 calendar days span
- New Guidance is to report **Epidemiologically Linked Group**:
 - A minimum of 5 cases (at least 20% of the group) meets case definition for acute respiratory illness within a 7-day period

OR

- Facility-wide ≥10% of the average daily population report new onset of acute respiratory illness symptoms, with a minimum of 5* ill, within a 3-day period.
 - *In settings with groups smaller than 15 people, the minimum is reduced to 3 cases.
- New Reporting Mechanism through California Department of Public Health's Shared Portal for Outbreak Tracking (SPOT): <u>http://spot.cdph.ca.gov</u>





Welcome to SPOT



• Select Existing Users if your local health department has provided you with a SPOT account and log in credentials.

• Select New Users if you do not have a SPOT account.

Why SPOT?

The goal of the School and Shared Portal for Outbreak Tracking (SPOT) is to expand California's contact tracing efforts by facilitating collaboration and sharing of information between schools, workplaces, congregate settings, other entities and local health departments (LHDs), through CalCONNECT, California's public health contact tracing and data management system.





COUNTY OF LOS ANGELES Public Health

DEFINITIONS (for community settings)

Example 1 Community Congregate Settings

Reducation Settings

Workplace Settings

Community Congregate Settings

Refers to

• Community care facilities, including:

- Adult Residential Care Facilities, all license types
- Continuing Care Retirement Communities
- Psychiatric Health Facilities, not including Acute Psychiatric Hospitals
- Residential Care Facilities for the Elderly
- Residential Facilities for the Chronically III
- Social Rehabilitation Facilities
- Long-Term Care Facilities
- Residential Substance Use Treatment Facilities
- Mental Health Treatment Facilities

• Sites that provide housing for people experiencing homelessness such as:

- Shelters
- Recuperative care centers
- Single room occupancy hotels (SRO)
- Correctional/detention facilities

When to Report

Epidemiologically linked group (e.g., individuals sharing common areas or living space):

• A minimum of 5 cases (at least 20% of the group) meets case definition for acute respiratory illness within a 7 day period, OR

Facility-wide (e.g., among residents or clients):

 At least 10% of the average daily population are reporting new onset of symptoms of acute respiratory illness, with a minimum of 5* ill, within a 3-day period.

*In settings with groups smaller than 15 people, the minimum is reduced to 3 cases.

How to Report

SPOT: Spot.cdph.ca.gov



For additional assistance, contact the Community Outbreak Team.



SPOT Quick Guides Reporting Clusters of Acute Respiratory Illness

Toolkit for First-time Reporters Quick Guide: First-time Reporters Quick Guide: Adding Cases to Existing Reports Quick Guide: Updating Close Contact to Case Quick Guide: Reporting a New Cluster as an Existing User Quick Guide: Bulk Upload Reporting

elessness such as:

Epidemiologically linked group (e.g., individuals sharing common areas or living space):

 A minimum of 5 cases (at least 20% of the group) meets case definition for acute respiratory illness within a 7 day period, OR

X

Facility-wide (e.g., among residents or clients):

 At least 10% of the average daily population are reporting new onset of symptoms of acute respiratory illness, with a minimum of 5* ill, within a 3-day period.

*In settings with groups smaller than 15 people, the minimum is reduced to 3 cases.

How to Report

SPOT: Spot.cdph.ca.gov

SPOT Reporting Quick Guides

For additional assistance, contact the Community Outbreak Team.



UM and QI Request for Authorization Review Updates



No Blackout on Requests for Authorization FY24-25

• Continue submitting requests for authorizations for DOS 7/1/2024 onward

Provider Site Admission Form (launched 6/17/2024)

PROVIDER SITE ADMISSION		Submit Note	es Discard Add to Favorites
Site Admission	~		
	Date Created	Program	
	06/21/2024	🗰 🔳 Y 🌲 ACFW 1147 South Alvarado St (0014)	
	Admission Date	Level of Care Admitted	
	01/01/2024	🗰 🔳 🖤 🌩 ASAM 1.0	~
	Form Status *		
	🔿 Draft	Final	

 Care managers will review completion of this form; if incomplete, agency staff will be prompted to complete the form and are subject to denial authorization if the form is not completed accurately



UNIT/BRANCH/CONTACT	EMAIL/Phone Number	Description of when to contact
Sage Help Desk	Phone Number: (855) 346-2392 ServiceNow Portal:	All Sage related questions, including billing, denials, medical record modifications, system errors, and technical assistance
	https://netsmart.service-now.com/plexussupport	mouncations, system errors, and technical assistance
Sage Management Branch (SMB)	SAGE@ph.lacounty.gov	Sage process, workflows, general questions about Sage forms and usage
QI and UM	SAPC.QI.UM@ph.lacounty.gov	All authorizations related questions, Questions about specific
	UM (626)299-3531- (No Protected Health Information PHI)	patient/auth, questions for the office of the Medical Director , medical necessity, secondary EHR form approval
Systems of Care	SAPC_ASOC@ph.lacounty.gov	Questions about policy, the provider manual, bulletins, and special populations (youth, PPW, criminal justice, homeless)
Contracts	SAPCMonitoring@ph.lacounty.gov	Questions about general contract, appeals, complaints, grievances and/or adverse events. Agency specific contract questions should be directed to the agency CPA if known.
Strategic and Network Development	SUDTransformation@ph.lacounty.gov	DHCS policy, DMC-ODS general questions, SBAT
Clinical Standards and Training (CST)	SAPC.cst@ph.lacounty.gov	Clinical training questions, documentation guidelines, requests for trainings
Phone Number to file an appeal	(626) 299-4532	
Grievance and Appeals (G&A)	(626)293-2846	Providers or patients who have questions or concerns after receiving a Grievance and Appeals Resolution Letter or follow up with an appeal.
CalOMS	HODA CalOMS@ph.lacounty.gov	CalOMS Questions
Finance Related Topics	SAPC-Finance@ph.lacounty.gov	For questions regarding Finance related topics that are not related to
	(626) 293-2630	billing issues
Out of County Provider	Nancy Crosby (ncrosby@ph.lacounty.gov)	Out of county provider requesting assistance in submitting authorization
		for LA County beneficiary & resident
		Intercounty Transfer / Medi-cal eligibility (MEDS- acceptable aid codes) / Applying for Medi-cal general questions
SASH	(844) 804-7500	Patients calls requesting for service



Discussion & & Questions